

New Group Enrollment Checklist – Small Group

All forms listed should be completed in their entirety and signed by the authorized group contact or decision maker. Any missing or incomplete information may delay your group's implementation, as we will need to contact you.

_____ **New Group Application** – Version B-5449 Rev. 09/2018 – Previous versions will not be accepted.

_____ **Proof of Payroll** – NYS-45 – Most recent available quarter filed.

- Each employee appearing on the NYS-45 must be noted to indicate eligible, not eligible or termed.
- Notations should be based on the employer's eligible class(es) (Section 5 of New Group Application).
- Employees working fewer than 20 hours per week are ineligible.
- If an eligible employee's or owner's wages reported on the NYS-45 do not support the required hours based on employer's eligibility guidelines, current paystub or payroll journal must be included.
- Current paystub or payroll journal for any new eligible employee not appearing on the NYS-45 is required.
- If no NYS-45 is available, a payroll register may be accepted.
- If no payroll register is available, W-4 forms may be accepted if completed in full (1-10).

_____ **Most Recent Tax Documentation**

- **If a group is enrolling at least four employees and any enrolling owner appears on the NYS-45, company tax documentation is not required.**
- **If a group is enrolling fewer than four employees and/or an enrolling owner does not appear on NYS-45, the most current company tax documentation will be required.**
 - S-Corp – Schedule K-1s for ALL owners from the most recent tax year.
 - C-Corp – Pages 1-3 of the most recent year's 1120 along with the Schedule G & 1125E.
 - Partnership – Schedule K-1s for ALL owners from the most recent tax year.
 - Sole Owner – Most recent year's Schedule C or Schedule F.
 - Non-Profit/Charitable Organizations – Pages 1-3 of the most recent year's Form 990. If exempt from filing, a copy of the IRS Exemption Notice must be provided.
 - Start-up Company operating less than one year must provide acceptable documents (for example: business certificate, articles of organization, operating agreement, receipt of Federal Tax ID number (SS-4) or similar documentation that the business is authentic). The SS-4 letter can suffice as proof of ownership if it states "Sole MBR".
 - If a tax extension was filed for the most recent year provide filed tax extension along with prior year's ownership tax documentation.
- If the group has 50 or more Full-Time Equivalents submit the most recently filed 1094-C (If page 3, part IV contains other entities, those entities 1094-C(s) must be provided as well).

_____ **Subscriber Application Forms** – Completed by the subscriber. *Codes must match those on rate sheet.*

_____ **Rate Sheet(s) and Benefit Summary** - Signed by group decision maker or authorized group contact.

MISCELLANEOUS DOCUMENTATION REQUIRED WHEN APPLICABLE:

- _____Waivers (Always required for new DENTAL groups and only upon request for new medical groups).
- _____Disabled Dependent Application (Disabled dependent child over age 26, must accompany subscriber's app).
- _____Young Adult Certification (If group has Age 30 rider and employee is enrolling a child over age 26).
- _____Religious Exemption Form (If group is requesting removal of Family Planning benefits).
- _____Medicare Eligible / Over 65 Forms (If group is offering a Medicare Advantage GROUP plan with Excellus BlueCross BlueShield).
- _____SHOP Eligibility Letter from NYS (for groups identifying as SHOP Qualified).

Excellus BlueCross BlueShield retains the right to request additional information for verification if conflicting information is found on public sources.